A Case Study of Interactive Development of Passive Tests

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ABSTRACT

Testing in the active sense is the most common way to perform verification and validation of systems, but testing in the passive sense has one compelling property: independence. Independence from test stimuli and other passive tests opens up for parallel testing and off-line analysis. However, the tests can be difficult to develop since the complete testable state must be expressed using some formalism. We argue that a carefully chosen language together with an interactive work flow, providing immediate feedback, can enable testers to approach passive testing. We have conducted a case study in the automotive domain, interviewing experienced testers. The testers have been introduced to, and had hands-on practice with a tool. The tool is based on Easy Approach to Requirements Syntax (EARS) and provides an interactive work flow for developing and evaluating test results. The case study shows that i) the testers believe passive testing is useful for many of their tests, ii) they see benefits in parallelism and off-line analysis, iii) the interactive work flow is necessary for writing the testable state expression, but iv) when the testable state becomes too complex, then the proposed language is a limitation. However, the language contributes to concise tests, resembling executable requirements.

CCS CONCEPTS

• **Software and its engineering** → *Domain specific languages*; *Integrated and visual development environments*; *Application specific development environments*; *Software testing and debugging*;

KEYWORDS

passive testing, case study, content analysis, test language, test tool

ACM Reference Format:
Daniel Flemström, Thomas Gustafsson, and Avenir Kobetski. 2018. A Case Study of Interactive Development of Passive Tests. In *RET'18: RET'18:IEEE/ACM 5th International Workshop on Requirements Engineering and Testing , June 2, 2018, Gothenburg, Sweden.* ACM, New York, NY, USA, 8 pages. https: //doi.org/10.1145/3195538.3195544

1 INTRODUCTION

Testing is a resource hungry undertaking where organizations strive for improved efficiency. Most testing is active, in the sense that the system under test (SUT) is *actively* stimulated, while its response is observed to form a *test verdict*. In *passive testing*, see e.g. [1], the SUT behavior is observed without affecting the inputs. This allows tests to be *independent* from each other and the test stimuli, opening up for parallel test evaluation, off-line analysis, and automated input generation. However, giving up control over inputs makes tests more complex to develop, since testable states must be considered in their completeness (and not only relying on specific pre-defined input sequences) and encoded into some kind of formalism.

One such formalism is the Guarded Assertions (GA) approach [8], where the test logic is split into a *guard* part, stating the testable state of the SUT, and the *assertion* part, stating the expected response of the SUT in the testable state. One way of modeling GA is by using finite state automata [1, 17]. However, since such level of formalism might be a limiting factor for practical usability, there is a need for supporting methods and tools.

Building on experiences from the requirements engineering field, pattern-based specification methods, see e.g. [9, 13, 16], seem necessary as a link between the tester and GA models. Recently, the Easy Approach to Requirements Syntax (EARS) [13] was extended with realtime constructs allowing to capture GA logic in a user-friendly way. In addition, a toolbox was developed, centered around an interactive work flow where the test engineer, using the extended EARS formalism, describes test logic on the GA format [5]. While the test logic is edited, the toolbox shows plots of relevant guards and assertions, based on a pre-loaded input-output trace of the SUT.

This paper strives to get an early industrial assessment of the above mentioned concepts and tools for the development of passive tests, focusing on qualitative feedback from a few experienced test engineers. In order to investigate how the toolbox work flow and the language affect the testers, we chose to conduct semi structured interviews with testers from five different test groups at a heavy truck manufacturing company. Each group is using Hardware-In-the-Loop (HIL) as a means for performing the testing, and are thus heavily using automated testing. Furthermore, these five groups represent test levels ranging from Electronic Control Unit (ECU) to integration testing of the complete vehicle electrical system.

The results of these interviews are presented in this paper. Section 2 shortly presents the toolbox and the theory behind it. Section 3 describes the design, realization, and validity of the case study. Section 4 collects the results of the interviews, Section 5 discusses related work, while Section 6 concludes the paper.

2 BACKGROUND

This section contains a background of the toolbox mentioned above, including a description of the guarded assertions approach, the pattern-based language used by the toolbox.

RET'18, June 2, 2018, Gothenburg, Sweden

ACM ISBN 978-1-4503-5749-4/18/06…$15.00
https://doi.org/10.1145/3195538.3195544

2.1 Guarded Assertions

A *guarded assertion (GA)* [8] is a logical construct, consisting of two parts: i) the *guard* (G) specifying under which conditions the test shall be evaluated, and ii) the expected responses, or *assertions* (A), of the SUT when it is in a testable state. In its simplest form, a GA can be quite intuitive, as in the following example:

G: When a reverse gear is engaged on a vehicle with a turned on electrical system,
A: the rear lamp shall be enabled.

Note that GAs follow the passive testing principle and never change the state of the SUT, but are rather acting as requirements, describing the expected system behavior in a given SUT state. However, in order to be suitable for automated testing, they should be unambiguous and executable. In practice, this typically means that timing information needs to be added, e.g. "the rear lamp should be enabled within 0.2 seconds". It also means that some kind of modeling formalism is required. In this work, such a formalism is based on a specification pattern, described in the next subsection.

2.2 Timed Easy Approach to Requirements Syntax (T-EARS)

Easy Approach to Requirements Syntax (EARS) [13] is a semi-formal way of expressing requirements using a set of pre-defined keywords. The keyword set, based on best practices from an industrial experience, is intentionally kept short and simple so as to reduce the threshold needed to start using EARS. By imposing some other restrictions on the format, like using logical propositions and known parameter names instead of natural text descriptions of them, EARS-expressions can be converted into a machine readable format, significantly enhancing the rigor of requirements, as compared to natural language formulations [7]. The basic EARS templates are:

- ubiquitous: requirement is always active
- keyword *when*: required response to a triggering event
- keyword *while*: required response in a specified state
- keyword *where*: applicable only if a certain feature is included
- keyword *if... then*: required response to an undesirable triggering event

A limitation of EARS when it comes to testing real-time systems is its lack of templates for describing timing information. For this reason, EARS was extended into timed EARS (T-EARS) [5] using the following keywords:

- keyword *within*: the time within which a condition must hold
- keyword *for*: minimum time that a condition must hold
- keyword *after*: relative order of two events

In addition, T-EARS has some convenience constructs, such as `abs(sig)` - the absolute value of a signal, and `even(sig)` - the time intervals when `sig` has been high an even number of times. It is rather straightforward to extend T-EARS with other constructs.

2.3 Toolbox

The SAGA toolbox [5] shall enable a test engineer to work efficiently on three aspects of test development and test result analysis: i) developing a GA, ii) analysis of a test result of a GA, and iii) developing SUT stimuli. The toolbox has a web based interface centered around an interactive work flow in the following way:

- loading a trace from the SUT,
- a listbox presenting available signals in the trace,
- a text editor supporting the T-EARS language with syntax highlighting and auto-completion of signals,
- a set of plots representing guards, with visual highlighting of the time intervals where the guard is valid, and
- plots of assertions, with visual highlighting of the time intervals where the assertions pass or fail.

As soon as the T-EARS expression is changed, the plots are updated to accurately represent the new GA. This gives an interactive round-trip, where the test engineer can study the plots, zoom if needed, and update the GA if needed. The tool can also be used as a query language on the trace.

3 CASE STUDY DESIGN

This section contains the objective and research questions, as well as a description of the context, preparation and data collection, the analysis procedures and finally an analysis of the threats to validity and the countermeasures undertaken.

3.1 Objective and Method Selection

We argue that tool support is important for enabling passive testing to practitioners, thus, certain design decisions have been taken in the SAGA toolbox presented in Section 2. The objective of this study is to asses how well the GA approach, the T-EARS language, and the interactive work flow enable passive testing in an industrial setting. The objective is broken down into three research questions:

RQ1. What are the potential benefits and drawbacks of the GA approach in an industrial testing setting?
RQ2. What are the potential benefits and drawbacks of using the T-EARS language to model GAs?
RQ3. What are the potential benefits and requirements on an interactive tool for the development of GAs?

To collect data for answering the research questions, we chose to perform an exploratory case study, based on interviews with software test practitioners within the context of automotive systems testing. Since benefits and drawback are composed of a multitude of aspects that may be intertwined and possibly expressed indirectly, the interviews were subjected to a content analysis [6].

3.2 Context

The case study was carried out at Scania CV AB, one of the world's largest manufacturers of heavy trucks, buses, and engines.

3.2.1 Participants. The focus of this case study was on test groups using either SIL or HIL environments, since these were deemed to benefit the most from the toolbox and the GA approach in the short term. Test scripts are developed in test automation frameworks using Python and/or C#. One experienced test engineer was chosen from each of these groups, resulting in five interviewees. Moreover, one test-run interview was made with an experienced test engineer from the complete-vehicle electrical system integration testing group, resulting in six interviews in total. Table 1 shows a summary of the participants in the case study.

Table 1: Interviewee Summary

Interview			Department			Participant	
Id	Duration	# Statements	Id	Test Level	Roles	Selected TC Size	Years of Testing
1	1h50min	82	A	ECUs Test	SE[1], T[2], TL[3]	Fairly simple	16
2	1h13min	124	B	Complete Vehicle Test	T	Fairly simple + simple	3
3	1h00min	109	C	ECUs Test	T	Many small simple tests	4
4	1h48min	70	A	Complete Vehicle Test	T	Fairly simple	12
5	1h09min	112	D	ECUs Test	SE, T, CGL[4]	Fairly simple	14
6	1h29min	106	E	ECUs Test	SE, T	Fairly simple	8

[1]Senior Engineer, [2]Tester, [3]Test Leader, [4]Competence Group Leader

3.3 Preparation and Data Collection

In this section, the preparation and data collection procedures of the case study are presented, in accordance with the general guidelines found in [18]. Figure 1 shows an overview of this process that consists of preparation, trial, and finally the interview phases.

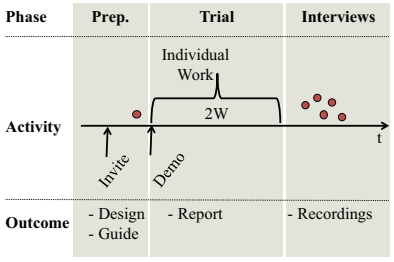

Figure 1: Data Collection Process

3.3.1 Preparation Phase. The preparation phase constitutes several activities. An initial understanding of the case context was achieved by studying available test cases (TC) and having informal discussions with some of the testers about TC organization. This phase was ongoing during a rather long period of time.

An interview guide was constructed using open-ended main questions as well as follow-up questions. Where we could foresee the need, a few probing questions, e.g., "why do you", were added to the questionnaire. The questions in the guide were divided into three content areas (Approach, Language and Tool), reflecting the scope of the research questions in Section 3.1. Further, as a warm up section, the guide started with contextual questions regarding background information about the interviewee, such as experience and current ways of working, meant to facilitate the result comparison.

This interview guide was tested in a test-run to allow adjustments before the actual interviews were performed. Since no changes were necessary, the test-run was included in the final data set.

3.3.2 Trial Phase. The selected participants of the case study received an invitation letter, describing the objectives of the study as well as the data collection procedures and that the interviews would be recorded and anonymized. Further, each participant was asked to select test cases of varying complexity from their own domain. The test cases should also have been automated in their current test automation framework, to allow the participants to make comparisons. The purpose of the trial phase was to allow the participants to gain hands-on experience of the toolbox and its underlying concepts, while working on their own test cases.

As shown in Figure 1, the trial phase started with a demonstration session, when the concept of guarded assertions, T-EARS, as well as basic tool handling skills, were presented. The demonstration was carried out on one occasion, with all participants together. Next, the participants were expected to work with their selected test cases and the SAGA tool for a period of at least two weeks calendar time, depending on when their interview was scheduled. The duration was chosen to minimize conflicts with the testers normal work tasks. The participants were encouraged to take notes during this phase in an individual experience report.

3.3.3 Interview Phase. The participants were individually interviewed in Swedish by two researchers, one performing the interview and the other taking notes. The interviews were also recorded. During the interview, the interview guide was the main instrument, but the experience report was also discussed. The target time of the interviews was set to 1-2 hours.

3.4 Analysis Procedures

This section describes the analysis procedures as illustrated in Figure 2. Content analysis, as described by Graneheim and Lundman[6], was carried out on the interview material. They divide the analysis into manifest content analysis that describes "what" the participants are telling, and latent content analysis, where an underlying meaning is sought "between the lines". Although the participants in the study have vast experience in software testing, their experience with the tool is limited in comparison. As a consequence, we strive for keeping the analysis more manifest than latent.

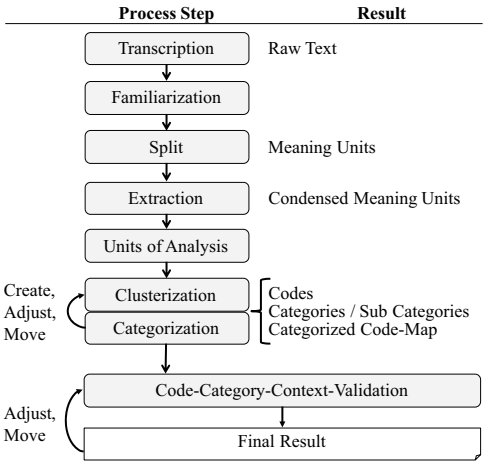

Figure 2: Overview of the analysis process.

3.4.1 Transcription. The recordings were transcribed at a verbatim conversational level. Nuances in language or body language were not considered. To anonymize the results, each interview was assigned a random number (see Table 1). The outcome of this step was one file with raw text for each interview.

3.4.2 Familiarization. The full transcript of each interview was read several times to get an overview of the material. This resulted in a main-takeaways section, added to each interview to communicate the gist between researchers not taking direct part of the analysis.

3.4.3 Split. The transcripts were split into *meaning units*. A meaning unit typically corresponds to one sentence. Each such meaning unit was assigned a unique `row-id` in an Excel sheet. Approximately 4800 such meaning units were extracted from the transcript. The outcome of this step was an Excel sheet as: `<row-id,interviewee-id,text>`, containg all meaning units.

3.4.4 Extraction. Not all meaning units contained useful information, while others were excessively wordy or contained several pieces of information. To mitigate this and to reach a manageable level of analysis, the contained information was extracted from the original list of meaning units into *condensed meaning units*. The output of this stage was the same Excel sheet as in the Split step, with the additional condensed meaning unit column.

3.4.5 Units of analysis. To form manageable units of analysis, the condensed meaning units were sorted into content areas as described in Section 3.3.1. Each content area was then analyzed separately in the steps clusterization and categorization below.

3.4.6 Clusterization (coding). As depicted in Figure 2 clusterization and categorization, were undertaken iteratively. During the categorization of the condensed meaning units, units with the same meaning were grouped together and tagged with a code. The outcome of this step is a set of categorized codes.

3.4.7 Categorization. Categories and sub-categories were created in an iterative bottom-up approach. The codes were moved around between categories and sub-categories and new categories emerged until no further new categories or movements could be motivated. This step also included moving of codes that belong to another content area. The result of this step was a tree like category-code-map that served as a mind-map for the results section.

3.4.8 Validation. When splitting the content and rearranging the pieces there is a risk that some of the pieces end up in a completely different context. To prevent such mistakes, each code-usage needs to be validated against the original text. The categories and contained codes were added to the results section of this paper, and the `row-id`s of each code were expanded to `<row-id,interviewee-id, condensed meaning unit>` and validated to the original meaning units (typically in a window of 5 before and 5 after). This produced a validated result list where misclassified codes and out of context condensed meaning units had been addressed.

3.5 Validity

When performing a case study there are several threats to validity [18]. *Construct validity* is concerned with whether the method and data sources are relevant to answering the research questions. There is a risk that the result is biased by the choice of interviewees. As a countermeasure, the interviewees were selected from different departments. To mitigate the risk of researcher bias in the interview answers, the questionnaire was carefully designed to not reveal any pre-assumptions or expectations. However, there is always a risk when demonstrating the tool and concept, that certain features are given more attention than others. Since one of the researchers is employed at the case organization, there is a potential risk that this could influence the testers answers. To mitigate this, the two researchers not employed at the case organization, performed the questioning. Another risk is that the researcher finds the answers he expects. To mitigate this, the transcripts were checked against the recording by another researcher.

One threat to *internal validity* is that the interviewees have long experience of their current testing framework compared to the GA approach. Another threat is that the SAGA tool is being developed and is not as mature as their current tools. While these threats seem unavoidable during early assessment, a follow-up study is recommended when the tools (and their users) have matured.

As in all case studies, there are several threats to *external validity*. Since we have studied only one organization, there is a risk that the results are not generalizable. To mitigate this risk we have selected the interviewees from different departments with a vast experience from different organizations. Further, the context, for which the study is valid, has been described as thoroughly as possible. Since the study is exploratory, using content analysis as a primary analysis method, there are several threats to *reliability*. One risk is that another researcher would have coded the transcripts differently. This was mitigated by having a second researcher validating a random set of the encoded meaning units. Another threat is that the categorization is dependent on the researchers pre-assumptions. As countermeasure, the category maps and encoding were presented to and discussed with the other researchers.

4 CASE STUDY RESULTS

The results of the interviews are structured along the content areas. Within each content area, the results are presented following identified categories of meaning units, and underlined with quotations, where appropriate. Note that these quotations refer to generalized translations of key findings and are thus not direct quotations from the interviewees. Still they capture the gist of the original statement in the raw data, e.g., *"T-EARS can be used for avoiding misunderstandings."* [i3] , where [i3] refers to the *id* column of Table 1.

4.1 GA Approach

The collective results of this content area serves the purpose of answering RQ1. - *"What are the potential benefits and drawbacks of the GA approach in an industrial testing setting?"* Two of the identified categories (*Potential* and *Risk*) directly contributes to answering the question. However, the analysis also revealed the category *Applicability and limitations*, with subcategories describing when and why the potential or risks can be expected.

4.1.1 Potential. This category contains the properties that contribute to the potential of the GA approach, with each property represented by a subcategory.

Efficiency: The passive approach to testing leads to increased parallelism in the sense that it allows several requirements to be verified at the same time. This is perceived as more efficient by the interviewees. *"It will be more efficient if I can run my state machine once and run all tests [in parallel] instead of running it once, checking this, running again, checking that, and so on."* [i6] In addition, a

GA is evaluated as soon, and as often, as the system has reached a testable state. According to the testers, this often happened sooner than expected, which indicates that the required test sequence may be shortened. *"Another benefit we discovered with our present test automation framework was that we shortened our HIL execution time. Because, often, the expected system state occurs earlier than the tester guessed. Sometime this could mean several hours on a test suit."* [i3]
Effectiveness: As a consequence of the continuous execution of GAs, a requirement may be tested several times, which is an advantage compared to current testing where tests are executed once. *"If we had some overall tests during the entire test session, we might catch more than today."* [i6] Improvements with respect to regression testing were mentioned: *"For regression test purposes, it may be very useful to have a set of selected test cases waiting for their active state in the background while you test other things at depth."* [i1]
Diversity: The ability of running tests off-line on a great variety of log-files was mentioned as a great potential of the approach. Examples given were old logs, logs from other test cases, other people's logs and field vehicle logs. This enables running a modified test without having to re-run a HIL execution, which could be used for deep-analysis leading to an increased effectiveness. *"Extremely good if you can test off-line [on different input data] when you have changed a test."* [i4]
Realism: The possibility to analyze logs from field tests directly leads to more realistic tests. Altogether this contributes to an increased confidence in the test results and that more bugs are believed to be found. *"Given that we can analyze logs from field tests we get more reliable and realistic tests."* [i5]
Clarity: Another positive effect of the way tests are specified in the approach, is that the tests are perceived as more "clear". One reason is that the stimuli sequence is not mixed into the test case. Another reason is that the guard thoroughly describes the testable state of the system and is clearly separated from the assertion part. Finally, evaluating test cases in parallel opens up for having smaller test cases, ideally covering one requirement at a time, which also contributes to increased clarity. *"It is much more clear when you have the actual system state together with what you want to compare."* [i2]
Robustness: Having smaller test cases, as mentioned in *Clarity*, also contributes to increased robustness: *"I think that, just the fact that we are forced to write smaller tests with fewer inputs and a well defined scope for one thing at a time, instead of testing many things at the same time would help us to get more robust testing."* [i6]
Reuse: The testers considered it beneficial to reuse the GA logic from lower integration levels, even though the test automation frameworks usually differ and the logic would have to be combined when moving up in the integration chain.
System understanding: The ability of fine tuning expressions by applying them on an off-line log was also considered as a means of gaining understanding of both the requirements and the system behavior. This is further emphasized by the observation that in order to thoroughly describe the testable state, the testers are forced to widen their view of the system state. This would lead to an increased understanding of the relevant states as well as the possible transitions between them. If the tester succeeds in this, false failures due to running tests under the wrong system state may decrease.

4.1.2 Risks. *Generality:* Although the need to better understand system states has been presented as a strength of the approach, this was also perceived as an increased burden when writing the GAs. This is due to the specification of the guard becoming much more general: *"You cannot assume anything [about the stimuli sequence], so you need to specify when to test and when not to test."* [i2]
Coverage: Without direct control over the stimuli, there is a risk that some GAs never get triggered and it is thus important to keep track of which GAs have been covered. *"For the stimuli part, it will be difficult to know if I have covered all states i have to cover"* [i6]
False results: The inherent generality of GA expressions contains the risk of getting false results, e.g., due to missed signals in the guard specification, or side effects from another function that was overlooked by the tester. In summary, this could lead to a lot of analysis whether a fault reported by an GA is a real fault or not. *"There is a risk that a lot of analysis is required to check whether reported faults from the GA are real faults or not."* [i2]

4.1.3 Applicability and Limitations. In total, the applicability of the approach was guessed by the interviewees to be within 40% to 80% of the test cases that they have today. In detail the following categories were formed from the data:
Integration level: In general, the approach seems to be applicable on different levels of integration, even though the answers varied. One participant thought that, although technically possible, it would not be motivating enough for the lowest test levels to adopt the GA approach, while another considered it a perfect fit for unit tests. On the ECU level, everything depended on the complexity of the underlying functions and physical processes, but again not on the approach per se. Finally, all interviewees agreed that the benefints of the approach increase when climbing up the integration chain. *"The approach works better on a higher level with many ECUs"* [i1].
More applicable: In the discussions, there were four kinds of tests that were mentioned as particularly applicable for the approach. These are *simple tests, non functional tests with long duration, positive testing*, and *ubiquitous requirements. "The approach is good for analyzing vehicle logs for simple faults that must never occur."* [i5]
Type of faults: There are also some types of faults that are believed to be easier to find with the approach. One example is when signals propagate between different ECUs and there are specific requirements on, e.g., timing. Another type of faults where the approach seems particularly promising is glitches that occurs only occasionally or faults that occur in unexpected parts of the system that you are not currently focusing on.
Less applicable: Examples of where the approach is less applicable are tests of diagnosis functions and fault injection. In such cases, the fail states were perceived as difficult to model and the expressions tend to be very lengthy. *"1 out of 5 is fault injection related and not suitable for the approach."* [i4] Tests with a lot of special cases or exceptions also fall under this category. Another example is test cases where the result cannot be automatically encoded, such as testing visual output on the instrument cluster. The most discussed barrier for using the approach is the complexity of the test case.
Complexity: Several different sources of complexity were identified during the interviews, such as: number of inputs / signals, complexity of the tested function / requirement, amount of intentional functional interaction, number of exceptions / special cases, length of state sequences, and number of possible state transitions.

4.2 Language

The collective results of this content area serves the purpose of answering RQ2. - *What are the potential benefits and drawbacks of using the T-EARS language to model GAs?"* The analysis resulted in two categories directly contributing to the research question: Adoption and Ease-of-use. Aside from answering the research question, the analysis also revealed a number of suggestions on how to improve the language. This is presented in the category Suggestions. Lastly, we present a summary of a discussion with the participants on different, more specific, aspects of a test case written in T-EARS.

4.2.1 Adoption. This category describes the participants' experience when starting to use the language. Four of the participants were used to the way of thinking, since the format of their requirements were pretty similar, or were even written in the EARS format. For them, starting to use T-EARS was not a big step. At least not for the simple cases. When things got more complex, e.g., handling special cases, they experienced it as more difficult, mainly due to the lack of user defined functions. The remaining two participants had more difficulties than the others to start using the T-EARS language. The main reasons were problems with log compatibility to the SAGA tool and that they needed more example expressions to study. The overall impression of the language was that it is mature enough for simple expressions, but not yet mature enough for more complex tests. More examples of T-EARS expressions and visual support from the tool while experimenting, were mentioned as important things to quickly get up to speed with the language.

4.2.2 Ease of use. One of the most important aspects of T-EARS is how easy it is to use. Three sub categories were identified, representing factors that the participants thought contributed to or hindered ease of use: *Facilitators*, *Barriers* and *Complexity*.

Facilitators: The small set of keywords and the simplicity of the syntax were perceived to give a clear and easy to understand test code. *"... it is more clear how you reach a testable system state than our current Python code."* [i5] The resemblance with the requirement syntax, especially the case when the requirements are written in EARS was also appreciated. *"The requirements we have in the EARS format can probably be used directly in T-EARS."* [i3]

Barriers: The simplicity of the language also became a limiting factor, e.g., when expressing series of states, or long complex expressions. The semantic distinction between T-EARS keywords `while` (state) and `when` (event), especially in combination with timing, was a bit difficult to understand. *"I do not know how long "when" is valid. For example if I momentarily press a button to activate something, in reality it is still activated after the button has been released"* [i2].

Complexity: The sources of complexity in a T-EARS expression comes mainly from using the approach, see Section 4.1. *"The complexity lies in the required domain knowledge, signals, system states and transitions, rather than writing the test case."* [i2] Nevertheless, a few ideas for reducing the complexity are presented below.

4.2.3 Suggestions. The last category was the participants suggestions on how to make the language easier to use. The main theme here was the ability to deal with more complex tests.

User-defined functions: The most discussed feature was the ability to create user defined functions. Either for grouping or encapsulate long expressions or extend the available convenience functions, e.g. for simplified signal processing.

Higher-level state variables: This is a variant of the user defined function that was discussed. In practice, this means that it would be possible to use information on another GA (guard activated, passed, fail) as input to another GA.

GA-scoping: Scripted activation and deactivation of groups of GAs was suggested, using different mechanisms: *i)* Triggered if another GA has been triggered (one usage of higher level state variables as discussed above); *ii)* Implementing the `where` keyword from EARS. This would allow the inclusion of GAs, relevant to a specific configuration only: *"...there are certain functions that do not exist in all vehicles, and those you need to consider..."* [i2]

Save / restore signal values: The last suggestion was to include a save / load mechanism, e.g., to be able to store a signal value when a certain guard is activated. The stored signal should be possible to use as an input to another GA. This is sometimes useful in more complex functions, such as cruise control, where a signal needs to maintain its value during a period of time.

4.2.4 Detailed impressions. The following is a summary of the discussions regarding specific aspects of the T-EARS language. The interviewees were asked to compare T-EARS with their current test specification language, to see if using T-EARS for specification increases or decreases, e.g., complexity.

Ambiquity: Test cases written in T-EARS would be less ambiguous. *"T-EARS can be used for avoiding misunderstandings."* [i3] If the requirements are written in EARS, it will be less ambiguous since virtually no translation is required.

Correctness: Same or better. *"Since everybody will be forced to write the same way, it will be harder to do it wrong. At least for short and simple expressions."* [i6]

Understandability: At the same level or better for not too complex tests. If the requirements are expressed in EARS, it will be substantially easier for a broader range of people to understand the resulting test case, especially for other testers and non-programmers. In the case of more complex test cases, one of the participants believed that the current language (Python) would result in a test that is easier to understand.

Consistency: Whether the usage of T-EARS would give more or less consistent test cases than using, e.g., Python, differed depending on what the participants included in the language. If considering only the T-EARS core language, and not support functions, the answer was that the tests would be more consistent, since the T-EARS code would look the same for all test cases. Some participants had higher level functions in their test frameworks, effectively standardizing the test code to be consistent. Finally, the time specification feature of T-EARS was emphasized as an important factor: *"...these timing expressions, we think this is the whole difference and it really helps us in getting the test cases consistent."* [i3]

Conciseness: Conciseness depends on the system under test and ultimately the complexity of the requirement. For simple requirements it should be more concise, but for more complex it might get less concise, since it is not evident how to encapsulate expressions into functions, as in, e.g., Python.

Design / Level Independence: The participants did not se any technical limitations for using the language at different test levels.

Stability: The choice of specification language is not considered to affect stability, at least in any dominant way. However, for some groups, being able to specify timing in the tests were a synonym

for stability. Even though some of the interviewees had this feature in their testing framework, the participants appreciated the built-in temporal aspects of T-EARS.

Complexity: The complexity of the test cases written in T-EARS depends to a great deal on the complexity of the tested functions. For simple functions the resulting test cases are less complex, but due to the simplicity of the language, e.g., lacking user function or other encapsulation mechanisms, the complexity is expected to be greater when testing more complex functions.

4.3 Tool

The results of this content area, divided into categories *Potential* and *Challenges*, serve the purpose of answering RQ3. - *What are the potential benefits and requirements on an interactive tool for the development of GAs?"*. In addition, suggestions from the case study participants on how a tool should meet the challenges are included.

4.3.1 Potential. *Interaction:* The strength of the plots in the graphical signal view is that the relevant signals, as well as the intermediate evaluation results, are shown as the GA expression is being typed in, giving an *immediate feedback* on the current expression to the tester. *"It becomes pretty obvious when certain signals will be evaluated"* [i2].

Tool integration: The participants found a great potential in writing GA expressions, not only due to the T-EARS language, but also due to the integration between the GA editor, recorded signal logs, and the graphical signal and test evaluation view.

Effectiveness: The possibility to load another persons log while fine-tuning the test case and immediately get the graphical feedback of the result, was perceived as a great help for increasing the *confidence in the correctness* of the test case, as well as a great tool for *fault finding*. *"It is super useful to directly see where it went wrong, and HOW wrong it went, for example timing problems or that a signal never reaches a value."* [i4]

Efficiency: *Off-line analysis of log data* also saves a substantial amount of rig execution time, since tests do not require re-execution on the rig, but can rather be analyzed on a personal computer. *"You can run it on your own machine and get direct response on what you write. Instead of waiting a week to get rig time."* [i5]

4.3.2 Challenges. *Increased-data-complexity:* As discussed in Section 4.1.1, the approach may lead to an increased number of test cases in the form of GAs. To reach the full potential of the approach, it should be possible to apply a GA on several log files: *"You would like to run a GA on many, maybe 1000 different log files to see that it really works."* [i2] It must also be possible to apply several GAs on a single log file: *"It would be good to be able to run all logs to see if the fault repeats."* [i1] This poses some requirement on the test management system and requirement handling tools: *"The whole chain should be automated, e.g analysis of data when it is produced, creating reports and logging into results data base."* [i5]

Complex cases: In general the interviewees considered the tool to be rather easy to use for testing simple functions. Due to a certain immaturity of the tool, more complex test cases were more challenging. One example is that long expressions resulted in many plots. *"These [the tested GAs] were pretty short GAs, still, it required a lot of scrolling to see relevant signal states in the plots."* [i2]

Missing signals: The testers that encountered problems due to log data incompatibility or missing signals in the log file, experienced more difficulties in expressing the guards. *"Without recorded data, it may be difficult to understand the state you need to be in."* [i2] This emphasizes the importance of supporting a wide range of log-formats e.g, CANAlyzer and VISION log formats.

Huge signal spaces: Further, in order to make the expressions more readable, short names are shown in the current version of the editor. In systems that have the same short-names in different contexts, e.g. different CAN buses, it can be hard for the tester to tell which one is used in an expression. Also difficulties finding the signals in the current signal list was mentioned. *"Many signals have the same [short] name in different contexts, that is a problem."* [i2] Filtering, sorting and grouping of signals were discussed as solutions to the challenge. Other suggestions were drag and drop support from the signal list and improved auto-completion of the complete signal paths. Further, means of evaluating temporary expressions, not part of the current GA, were requested: *"Some times, when something has gone wrong, you want to inspect variables that is not part of the [GA] expression."* [i5]

Visualization: While useful for one tester, another tester may find the number of plots a bit daunting, especially for a long expression, and if the signals are plotted in separate graphs. *"It was a bit difficult to understand the many graphs that showed up."* [i2] Another challenge was the sample points, that some times are needed, but sometimes are overloading the plots. The challenge of visualizing missing cyclic signals and GA coverage was also discussed.

Navigation: In some cases, interesting sections in a plot are spread out in time and difficult to find, e.g., spikes in a signal which are hard to see if not zoomed in. In response to such navigation challenges, different search features of the plot were suggested, e.g., go to next spike and moving the timeline while in zoom mode.

Performance: Since the current log files are mainly ASCII, the testers suspected performance problems as the log files grow large, since the startup time and responsiveness of the tool degraded for very large log files.

Realtime: Several interviewees expressed a wish to be able to run the tool in the same way, performing the same analysis, while providing input data in realtime. This requires that the toolbox observes and keeps track of the SUT's current state, which is an additional challenge, not least due to the risk of such an observer growing exponentially.

5 RELATED WORK

Bjarnasson [2] et al address the problem of aligning requirements with verification and validation. These challenges have influenced the design of the T-EARS language and its toolbox.

Brzeziński discusses the distinction between active and passive testing in [3]. We address their identified discrepancy between the mental model of testing and the notion of passive testing with 1) the interactive round-trip in our toolbox, and 2) the T-EARS language.

EARS has been available since 2009 [13] with an increasing industrial adoption [12, 14]. It has been formalized for, e.g., deriving use cases from requirements [11]. Our T-EARS language is an extension to EARS with respect to temporal specification and evaluation.

While runtime verification is based on monitoring a system's execution and checking it against properties described in some formal logic [10], our intention with the interactive work flow has

been to provide testers with a means to develop concise and correct tests without needing to know any hard to learn temporal logics.

Property-based testing, first popularized with the Haskell QuickCheck tool [4], aims at capturing properties of a program and then testing this property on a large number of inputs. While QuickCheck is built around the possibility to inject a large number of test stimuli that test the properties, our toolbox aims at developing tests without the need to have access to the SUT.

There are examples of usages of passive testing and runtime verification in the automotive domain [15, 19], as well as commercial tools like EmbeddedSpecifier and Montimage Monitoring Tool (MMT). To the best of our knowledge, these examples and tools are not using an interactive tool for test/monitor development, nor has it been scientifically evaluated in the context of our research questions. Thus, there is no documented knowledge how easy/hard users find it to express passive tests and monitors in these tools. Our findings are that an interactive work flow and a well chosen language can make passive testing approachable.

6 CONCLUSIONS AND FUTURE WORK

The aim behind the presented work was to make an early assessment of whether passive testing realized using the GA approach, its tool realization, as well as the T-EARS language could be used advantageously in the industrial practice. And conversely, what is missing in order for the method and the tool chain to take off. For this sake, a qualitative exploratory case study was performed, based on semi-structured interviews with experienced test engineers within the automotive domain.

The case study confirmed that it is rather easy to understand the approach, learn the T-EARS syntax, and start using the tool. Once the learning threshold is overcome, the idea behind passive testing, and particularly the GA approach, seems quite attractive to the practitioners, resulting in tests that read almost as executable requirements. The approach was perceived to result in more clear, realistic, and robust tests, at least when the test complexity was not too high. This was estimated to hold in 40-80% of legacy test cases.

On the downside, most interviewees agreed that, due to limitations of the T-EARS language, more complex tests could lead to unmanageable logical expressions. Also, in order to write a good GA, general enough to handle any kind of test input correctly, it is important to understand precisely which parts of the system that can affect the testable state. Given that test stimuli are not controlled, concerns were raised about the requirement coverage and the diversity of inputs. Various log formats should be supported.

Ideas about the future work flow naturally from the conclusions of this case study. Besides direct actions on improving the tool in response to the comments from the interviewees, there is a need to look into more challenging questions. One such is how to manage rising test logic complexity. Would it be possible to adapt T-EARS to manage more complex cases, e.g. through encapsulation of T-EARS logic into more complex expressions, or would the user-friendliness of the approach get lost? Besides supporting different input formats, it would be interesting to consider the generation of test stimuli in more depth. Ideally, it should be possible to choose some criteria, e.g. requirement coverage, functional interference, or input diversity, and generate realistic inputs automatically. The toolbox should be able to scale with the rising amount of GA logic, input data, as well as auxiliary information, both when it comes to responsiveness and visualization properties. Also, it will be important to algorithmically detect non-feasible inconsistencies, either in GAs or in generated stimuli, that lead to incorrect results. Finally, to improve the generalizability of results, it is important to follow up this study, both in a quantitative sense and at other companies.

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